

Fig. 4. Comparison of static capacitances of the hexagonal, the equilateral triangle, and the circular disk for  $\epsilon_r = 10.2$

Since extensive data on the circular disk resonator are available in the literature, the resonant frequency of the commensurate hexagonal resonator can easily be computed from these data using (18). The error committed will be smaller than  $\pm 2$  percent.

## V. CONCLUSION

This paper presents the analysis of hexagonal microstrip resonators under quasi-static approximations using the spectral domain technique. Theoretical results for their capacitance and resonant frequency are given for various dielectric constants. These values are compared with corresponding parameters of circular and triangular microstrip resonators. A simple empirical formula relates the resonant frequencies of hexagonal and circular resonators of identical dimensions. Experimental data on the hexagonal resonator agree within  $\pm 2$  percent with the computed results. Thus, the quasi-static formulation of the spectral domain technique can be utilized to predict fairly accurate resonant frequencies for the normalized hexagon side  $a/d$  less than 5.0.

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## S-Parameter Equivalents of Current and Voltage Noise Sources in Microwave Devices

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**Abstract**—The representation of noise sources in terms of signal flow graph equivalents is derived, enabling one to model noisy electron devices in terms of their *S*-parameters.<sup>1</sup>

## I. INTRODUCTION

The characterization of the noise of electron devices at low and moderate frequencies invariably involves the introduction of series noise voltage sources or shunt noise current sources embedded in a network of impedances or admittances which includes additional controlled current or voltage sources to model the nonreciprocal behavior of the device. For example, the equivalent circuit devised by van der Ziel [1] for field-effect transistors in a common source configuration (Fig. 1) contains two noise current sources shunting the input (gate-source) and output (drain-source) terminals of that device (i.e.,  $i_g$  and  $i_d$ ).

Microwave devices are most conveniently characterized in terms of their scattering matrix elements (*S*-parameters). Not only are those parameters readily measured but, also, through the use of signal flow graphs, they provide a very convenient means for analysis when such devices are embedded between input and output networks which serve the purposes of providing dc bias voltages or currents, and acting as impedance transformers. It is the purpose of this short paper to demonstrate how one can also represent the presence of the noise current or voltage sources of the device in such signal flow graphs. This can be used for the purpose of analyzing the noise performance of the device in its embedding environment or, conversely, for the purpose of deducing from noise measurements the values of those equivalent *S*-parameter noise sources.

We adopt the convention prevalent in noise literature of using lower case symbols (*e*, *i*, *v*, etc.) to represent rms noise phasors. Because they are randomly time-dependent, they are char-

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<sup>1</sup>The research leading to the results described herein was suggested by A. van der Ziel.

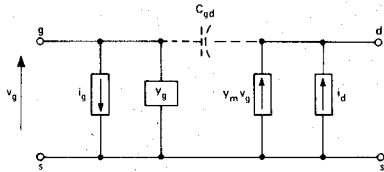


Fig. 1. Equivalent circuit due to van der Ziel for modeling the noise of a field-effect transistor. Phasor current sources  $i_g$  and  $i_d$ , in shunt with the  $g-s$  and  $d-s$  terminals of the device, are noise currents.

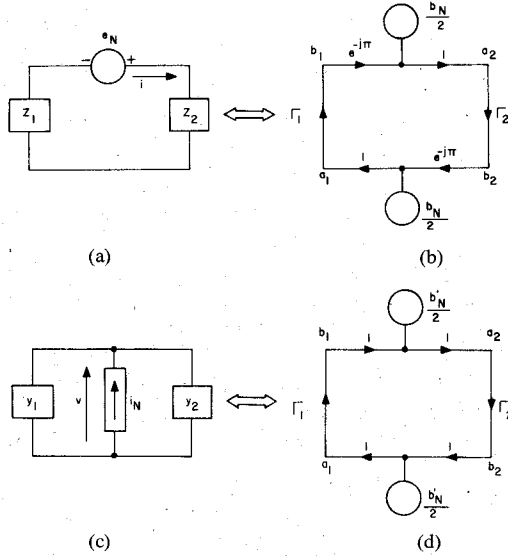


Fig. 2. (a) Phasor noise voltage source  $e_N$  seeing the impedances  $Z_1$  and  $Z_2$  to its left and right. (b) Signal flow graph noise model which is (a)'s equivalent. (c) Phasor noise current source  $i_N$  seeing the admittances  $Y_1$  and  $Y_2$  to its left and right. (d) Signal flow graph noise model which is (c)'s equivalent.

acterized experimentally in terms of time averages of their products, e.g.,  $\langle ii^* \rangle$ ,  $\langle ee^* \rangle$ ,  $\langle ei^* \rangle$ , etc., the latter being a cross-correlation term.

## II. SERIES NOISE VOLTAGE SOURCE

Fig. 2(a) shows a phasor noise voltage  $e_N$  which sees impedances  $Z_1$  and  $Z_2$  connected in series with it at its left and right terminals. Fig. 2(b) shows its  $S$ -parameter equivalent, which we shall now derive by focusing attention upon the power absorbed by those impedances.

Consider the impedance  $Z_1$ . The power absorbed by it is  $ii^* \text{Re}\{Z_1\}$  which, in terms of  $e_N$ , becomes

$$P_1 = \left[ \frac{e_N e_N^* (Z_1 + Z_2^*)}{2(Z_1 + Z_2)(Z_1^* + Z_2^*)} \right] \quad (1)$$

To express this in  $S$ -parameter notation, introduce the reflection coefficients  $\Gamma_1$  and  $\Gamma_2$

$$\Gamma_1 = \left[ \frac{Z_1 - Z_0}{Z_1 + Z_0} \right], \quad \Gamma_2 = \left[ \frac{Z_2 - Z_0}{Z_2 + Z_0} \right] \quad (2)$$

together with the equivalent  $S$ -parameter source  $b_N$

$$b_N = e_N / \sqrt{Z_0} \quad (3)$$

where  $Z_0$  is the characteristic impedance of the transmission line to which the scattering elements are referred (usually 50  $\Omega$ ).

Solving (2) for  $Z_1$  and  $Z_2$ , and (3) for  $e_N$

$$Z_1 = Z_0 \left[ \frac{1 + \Gamma_1}{1 - \Gamma_1} \right], \quad Z_2 = Z_0 \left[ \frac{1 + \Gamma_2}{1 - \Gamma_2} \right], \quad e_N = \sqrt{Z_0} b_N \quad (4)$$

Substituting these results into (1), one obtains after some algebra

$$P_1 = \left[ \frac{b_N}{2} \left( \frac{1 - \Gamma_2}{1 - \Gamma_1 \Gamma_2} \right) \right] \cdot \left[ \frac{b_N^*}{2} \left( \frac{1 - \Gamma_2^*}{1 - \Gamma_1^* \Gamma_2^*} \right) \right] \cdot (1 - \Gamma_1 \Gamma_1^*) \\ = a_1 a_1^* (1 - \Gamma_1 \Gamma_1^*) = a_1 a_1^* - b_1 b_1^* \quad (5)$$

Equation (5) is precisely the result obtained from the signal flow graph of Fig. 2(b) which contains one first-order loop, namely,  $\Gamma_1 \Gamma_2$ , and no nontouching loops for the two paths by means of which signals reach node  $a_1$  from the two fully correlated noise sources present in that figure. The time-averaged noise power absorbed by  $Z_1$  is  $\langle P_1 \rangle = \langle a_1 a_1^* \rangle (1 - \Gamma_1 \Gamma_1^*)$ .

Consideration of the power absorbed by  $Z_2$  leads to an equation identical to (5), with all numerical subscripts interchanged. Again, the signal flow graph of Fig. 2(b) leads to the correct result for  $\langle P_2 \rangle$ .

## III. SHUNT NOISE CURRENT SOURCE

Fig. 2(c) shows a phasor noise current  $i_N$  which sees admittances  $Y_1$  and  $Y_2$  connected in shunt with it to its left and right. Fig. 2(d) shows its  $S$ -parameter equivalent. Again we demonstrate this by focusing upon the power absorbed by those admittances. There are sufficient subtle differences in the outcome that it is worth repeating the derivation.

Consider the admittance  $Y_1$ . The power absorbed by it is  $vv^* \text{Re}\{Y_1\}$  which, expressed in terms of  $i_N$ , becomes

$$P_1 = \left[ \frac{i_N i_N^* (Y_1 + Y_2^*)}{2(Y_1 + Y_2)(Y_1^* + Y_2^*)} \right] \quad (6)$$

Introducing the reflection coefficients  $\Gamma_1$  and  $\Gamma_2$

$$\Gamma_1 = \left[ \frac{Y_0 - Y_1}{Y_0 + Y_1} \right], \quad \Gamma_2 = \left[ \frac{Y_0 - Y_2}{Y_0 + Y_2} \right] \quad (7)$$

together with the equivalent  $S$ -parameter source  $b'_N$

$$b'_N = i_N / \sqrt{Y_0} \quad (8)$$

one obtains as the analogs of (4)

$$Y_1 = Y_0 \left[ \frac{1 - \Gamma_1}{1 + \Gamma_1} \right], \quad Y_2 = Y_0 \left[ \frac{1 - \Gamma_2}{1 + \Gamma_2} \right], \quad i_N = \sqrt{Y_0} b'_N \quad (9)$$

Again substituting these into (6), and performing similar algebra,

$$P_1 = \left[ \frac{b'_N}{2} \left( \frac{1 + \Gamma_2}{1 - \Gamma_1 \Gamma_2} \right) \right] \cdot \left[ \frac{b'_N^*}{2} \left( \frac{1 + \Gamma_2^*}{1 - \Gamma_1^* \Gamma_2^*} \right) \right] \cdot (1 - \Gamma_1 \Gamma_1^*) \\ = a_1 a_1^* (1 - \Gamma_1 \Gamma_1^*) = a_1 a_1^* - b_1 b_1^* \quad (10)$$

Equation (10) is precisely the result obtained from the signal flow graph of Fig. 2(d). Again, the time-averaged noise power absorbed by  $Y_1$  is  $\langle P_1 \rangle = \langle a_1 a_1^* \rangle (1 - \Gamma_1 \Gamma_1^*)$ , and a similar result is obtained for  $\langle P_2 \rangle$  simply by interchanging the subscripts in (10).

In addition to the different manner in which the  $S$ -parameter equivalent noise sources  $b_N$  and  $b'_N$  are defined, compare (3) and (8), note the subtle difference in the two results. The signs in the numerator of the bracketed expressions defining  $a_1$  in (5) and (10) differ. This is due to the reversal of the signs relating  $Z_1$  and  $Z_2$  to  $\Gamma_1$  and  $\Gamma_2$  in (4) and the corresponding expressions relating  $Y_1$  and  $Y_2$  to those same reflection coefficients in (9). Thus, whereas Fig. 2(b) includes two phase factors  $\exp(-j\pi)$ , those phase factors are missing in Fig. 2(d).

## IV. CONCLUSIONS

We have shown that it is possible to replace the series voltage or shunt current noise sources which appear in the lumped circuits for modeling the noise of solid state devices at low and

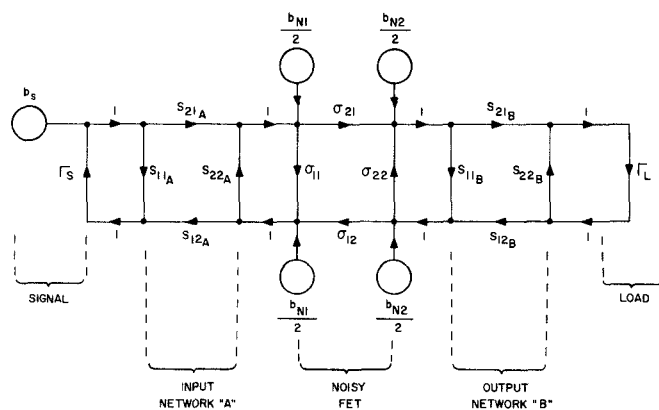


Fig. 3. Signal flow graph equivalent to the noisy FET of Fig. 1, embedded between input and output networks which provide dc bias as well as impedance transformation. The noise sources  $b_{N1}$  and  $b_{N2}$  are the  $S$ -parameter equivalents of the noise current sources  $i_g$  and  $i_d$  of the FET model of Fig. 1. The  $\sigma$ 's represent the four  $S$ -parameters of the FET.

moderate frequencies with signal flow graph equivalents, so that the noise performance of the device can be analyzed in terms of its  $S$ -parameters. Fig. 3, for example, shows the signal flow graph which results when a field-effect transistor, whose moderate-frequency noise model is that devised by van der Ziel (Fig. 1), is embedded between input and output linear networks serving to provide dc bias to the device as well as performing the function of transforming impedances. The source  $b_s$  in Fig. 3 represents a signal source, not a noise source, and is defined in the usual manner [2]. That is to say

$$b_s = \frac{V_s \sqrt{Z_0}}{Z_s + Z_0}, \quad \Gamma_s = \frac{Z_s - Z_0}{Z_s + Z_0}. \quad (11)$$

Since, at microwave frequencies, the "natural" measurable parameters of a solid-state device, as well as those of the linear networks in which it is embedded, are the  $S$ -parameters, it seems to the authors that the "natural" manner in which to characterize the noise sources of the device is that which has been developed in this short paper. In a sequel paper, we shall describe the results of experiments with a GaAs MESFET at 3 GHz still in progress which have as their goal the direct measurement of the noises  $\langle b_{N1} b_{N1}^* \rangle$ ,  $\langle b_{N2} b_{N2}^* \rangle$ , and their cross-correlation  $\langle b_{N1} b_{N2}^* \rangle$  (both real and imaginary parts) of the sources shown in Fig. 3.

## V. ADDENDUM

While the above paper was under second review following revision, Hecken [3] published an important paper also dealing with the use of  $S$ -parameters to characterize the noise of active devices. A brief comment on how his approach differs from the above is in order. Whereas our equivalent noise sources relate directly to the current and/or voltage sources encountered in moderate frequency models for device noise, Hecken simply includes sources contributing to the " $b$ "-waves emanating from each port of the device. There is nothing wrong with that approach. However, it cannot be used to interpret the physical mechanisms accounting for the noise. Inspection of Fig. 3, for example, reveals that both  $b_{N1}$  and  $b_{N2}$  (consequently van der Ziel's current sources  $i_g$  and  $i_d$  in Fig. 1) contribute to the noise emanating from both ports of the noisy FET, even if those ports see perfect impedance matches connected to them. In other words, Hecken's  $B_{q1}$  and  $B_{q2}$  are due to an admixture of the contributions from two differing physical mechanisms for the noise, and measurement of them in the neat way that he describes

will provide no physical insight as to their cause because, with both ports matched, only his noise source  $B_{q2}$  contributes to the noise emanating from port 2 and vice-versa.

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## On the Inherent Noise of an Ideal Two-Port Isolator

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**Abstract**—The inherent noise of an ideal isolator, predicted by Siegman from a simple thermodynamic argument, is verified by examining in detail the behavior of a common two-port isolator configuration, insofar as its sources of noise are concerned. Siegman's conclusion that any ideal isolator is the equivalent of a terminated three-port circulator is vindicated.

## I. INTRODUCTION

In 1961 Siegman [1] concluded from a thermodynamic argument that all isolators are the equivalent of terminated circulators insofar as their inherent noise is concerned. That argument is so neat that it is worth reviewing, especially since it appeared in a 20-year-old journal not likely to be available to the reader. Fig. 1(a) shows an ideal isolator which transmits a signal from port 1 to port 2 without attenuation, but attenuates perfectly any signal incident upon port 2 without reflection. Siegman described the following paradox. Suppose port 1 is matched with a termination at a cold temperature  $T_1$  while port 2 is similarly matched at a hot temperature  $T_2$ . Then *all* of the Nyquist noise power  $kT_1\Delta f$  from the cold resistor at port 1 reaches and is absorbed by the matched load at port 2, but *none* of the Nyquist noise power  $kT_2\Delta f$  from the hot resistor at port 2 reaches its counterpart at port 1. This is clearly a violation of the second law of thermodynamics since we have a continuous transfer of heat from a cold source to a hot sink. Based on this paradox, Siegman argued that an ideal isolator must be modeled as an ideal three-port circulator with its port 3 resistively matched, as shown in Fig. 1(b), that termination accounting for the dissipative losses *inherent* in an isolator in order to produce its nonreciprocal action. This clearly removes the paradox because now the additional Nyquist noise power  $kT_3\Delta f$  exits port 1 and is absorbed by the cold termination there. A signal flow analysis of the latter situation reveals that the *net* noise power entering all three ports sums to zero, and the second law is satisfied.

One concludes from the above argument that the inherent thermal noise of any isolator is Nyquist noise  $kT\Delta f$  exiting from port 1 only. That this is indeed the case is not intuitively obvious

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